

Figure 30A-1 ICE Independent Assessment Activities

Audit Program / Certification	Issuing Organization	Description	Third-Party Auditor
SSAE16 (formerly known as SAS70)	AICPA and CICA	The Statement on Auditing Standards (SAS) No. 70 is geared towards service organizations. It details audit requirements for the information technology infrastructure and the processes supporting the infrastructure. See Part B, Attachment 30-1 for this assessment report.	PWC, LLP
Quarterly External Penetration Tests	Bespoke	ICE engages third-party Information Security testing firms to conduct quarterly penetration and social engineering exercises and augment internal testing. Each quarter the scope and targets of these activities are selected based on the exposure of a given area and time since the last test.	Dell Secureworks et al
Independent Auditors Report	U.S. Government	The Sarbanes-Oxley Act (2002) contains a set of guidelines to provide controls over financial systems in an attempt to diminish financial fraud and increase management knowledge and accountability for the financial process. See Part B, Attachment 30-3 for this assessment report.	Ernst & Young, LLP